

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. 802 1450 Alexandria, Virginia 22113-1450

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/553,607 Wofgang Becker 09432,0062

INTERNATIONAL APPLICATION NO.

PCT/EP04/50366

22852 FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413 1.A. FILING DATE PRIORITY DATE
03/25/2004 04/18/2003

Date Mailed: 06/27/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/18/2005
- Copy of the International Search Report filed on 10/18/2005
- Preliminary Amendments filed on 10/18/2005
- Information Disclosure Statements filed on 10/18/2005
- U.S. Basic National Fees filed on 10/18/2005
- Priority Documents filed on 10/18/2005
- Specification filed on 10/18/2005
- Claims filed on 10/18/2005
- Abstracts filed on 10/18/2005
- Drawings filed on 10/18/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$2910 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$3040 for a Large Entity:

- \$130 Surcharge.
- Total additional claim fee(s) for this application is \$ 2910
 - \$2550 for 51 total claims over 20.
 - \$360 for multiple dependent claim surchare.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.		
10/553,607	PCT/EP04/50366	09432.0062		

FORM PCT/DO/EO/905 (371 Formalities Notice)

IAP13 Rec'd PCT/PTO 03 NOV 2006

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371				ATTORNEY'S DOCKET NUMBER 09432.0062						
				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/553,607						
		ATIONAL APPLICATION NO. 004/050366	INTERNATIONAL FILING DATE March 25, 2004	PRIORITY DATE CLAIMED April 18, 2003						
		INVENTION NG A COMPUTER SYSTEM WITH	RIANES							
APP	PLICAN	NT(S) FOR DO/EO/US								
_	olfgang BECKER, Axel VON BERGEN, Volker SAUERMANN, Arne SCHWARZ, and Guenter ZACHMANN uplicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1.			ems concerning a filing under 35 U.S.C 371.	Te following terms and other information.						
2.	\boxtimes		IENT submission of items concerning a submiss	sion under 35 U.S.C. 371.						
3.										
4.		(5), (6), (9) and (21) indicated be The US has been elected (Article	elow.	G. (1)						
5.		•	eation as filed (35 U.S.C. 371 (c)(2)).							
5.	ш		equired only if not communicated by the Internat	45I DA						
			ated by the International Bureau.	Jonal Bureau).						
		_	e application was filed with the United States Re	cociting Office (PO/I IS)						
6.			of the International Application as filed (35 U.S.							
۷.	_	a. is attached hereto.	A the monanciar approach	J. 31 (G)(Z)).						
		u. <u> </u>	submitted under 35 U.S.C. 154(d)(4).							
7.			International Application under PCT Article 19	(35 U.S.C. 371 (c)(3)).						
ľ		<u></u>	required only if not communicated by the Interna							
		<u></u>	cated by the International Bureau.	,						
			however, the time limit for making such amend	dments has NOT expired.						
		d. have not been made a	and will not be made.	•						
8.		An English language translation	of the amendments to the claims under PCT Art	ticle 19 (35 U.S.C. 371 (c)(3)).						
9.	\boxtimes	An oath or declaration of the inve								
10.		An English language translation of Article 36 (35 U.S.C. 371 (c)(5)).	of the annexes of the International Preliminary E	Examination Report under PCT						
Item	ıs 11 t	to 20 below concern document(s) or information included:							
11.		Information Disclosure Statement	t under 37 CFR 1.97 and 1.98							
12.	_		ording. A separate cover sheet in compliance w	with 37 CFR 3.28 and 3.31 is included.						
13.	\boxtimes	A preliminary amendment.								
14.		An Application Data Sheet under	37 CFR 1.76.							
15.		A Substitute specification.								
16.		A power of attorney and/or chang	je of address letter.							
17.		A computer-readable form of the	sequence listing in accordance with PCT Rule	13ter.2 and 35 U.S.C. 1.821-1.825.						
18.		A second copy of the published In	nternational Application under 35 U.S.C. 154 (d	1)(4).						
19.		A second copy of the English land	guage translation of the international application	n 35 U.S.C. 154 (d)(4).						
20.	⋈	Other items or information:								
		b. 🛛 Copy of Notification of	of International Publication No. of Missing Requirements. on of Time to Respond to Notification of Missing on	g Requirements and check in the amount of \$1020.00 for the						
l		- <u>-</u>								

	U.S. APPI 10/553,60	5. APPLICATION NO. (if known, see 37 CFR 1.5) S53,607 INTERNATIONAL APPLICATION NO. PCT/EP2004/050366 PCT/EP2004/050366			ATTORNEY'S DOCKET NUMBER 09432.0062				
ſ	The following fees have been submitted:							PTO Use Only	
	21. BASIC NATIONAL FEE (37 CFR 1.492(a)):				\$300.00		\$	J,	
	22. Examination fee (37CFR 1.492(c))								
	If the Written opinion prepared by ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)								
	23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)								
	All other s	ituations		1 22 and 22 =	\$500				
	Addi	TOTAL of 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
		Total Sheets	Extra Sheets	Number of each additional thereof (round up to a who		Rate			
		- 100 =	/50=		,	x \$250.00	\$		
	Surcharge commend	of \$130.00 for furnishing ement of the national sta	g any of the search fee, exa ge (37 CFR 1.492(h)).	mination fee, or the oath or d	eclaration after the da	ate of	\$130.00		
		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
	Total Clai	ns	27 - 20 :	7	x \$50.0	0	\$350.00		
	Independ	ent Claims	4 -3 :	= 1	x \$200.0	00	\$200.00		
	MULTIPL	E DEPENDENT CLAIM(S	6) (if applicable)	+\$360.00		0	\$		
				TOTA	L OF ABOVE CALC	ULATIONS =	\$680.00		
	☐ Applic	pplicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					\$		
							\$680.00		
	Processin 1.492(i)).	g fee of \$130.00 for furni	\$						
			ONAL FEE =	\$680.00					
	Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. + 06 ATRAN1 00000116 10553607 TOTAL FEES ENCLOSED =								
FC:16 FC:16 FC:16	15		130.00 UP 350.00 DP				Amount to be refunded:	\$	
F6:10	14 200.00 BP						charged:	\$	
	a. ⊠	A check in the amount of		_					
	b. 🗖	he above fees.							
	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No06-0916 A duplicate copy of this sheet is enclosed.								
	d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
	NOTE: V	Where an appropriate tin to restore the Internatio	(b)) must be filed ar	nd granted					
	SEND ALL CORRESPONDENCE TO:								
	901 New	Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 201 New York Avenue, N.W. Washington, DC 20001-4413							
	DATED:	November 3, 2006			<u>Chapman/Reg. No. 2</u> EGISTRATION NUME				